

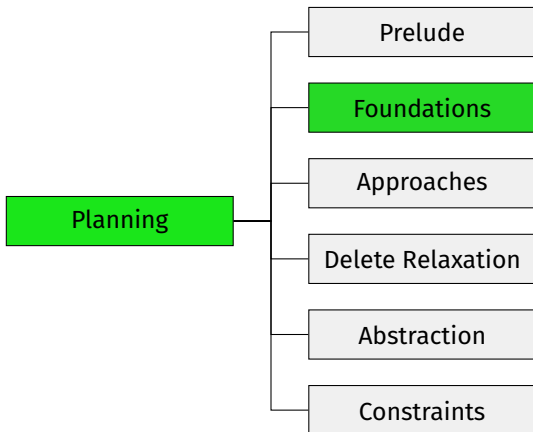
# Automated Planning

## B3. Formal Definition of Planning

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# Content of this Course



# Semantics of Effects and Operators

## Semantics of Effects: Effect Conditions

### Definition (Effect Condition for an Effect)

Let  $\ell$  be an atomic effect, and let  $e$  be an effect.

The **effect condition**  $\text{effcond}(\ell, e)$  under which  $\ell$  triggers given the effect  $e$  is a propositional formula defined as follows:

- $\text{effcond}(\ell, \top) = \perp$
- $\text{effcond}(\ell, e) = \top$  for the atomic effect  $e = \ell$
- $\text{effcond}(\ell, e) = \perp$  for all atomic effects  $e = \ell' \neq \ell$
- $\text{effcond}(\ell, (e \wedge e')) = (\text{effcond}(\ell, e) \vee \text{effcond}(\ell, e'))$
- $\text{effcond}(\ell, (\chi \triangleright e)) = (\chi \wedge \text{effcond}(\ell, e))$

**Intuition:**  $\text{effcond}(\ell, e)$  represents the condition that must be true in the current state for the effect  $e$  to lead to the atomic effect  $\ell$

## Effect Condition: Example (1)

### Example

Consider the move operator  $m_1$  from the running example:

$$\mathit{eff}(m_1) = ((t_1 \triangleright \neg t_1) \wedge (\neg t_1 \triangleright t_1)).$$

Under which conditions does it set  $t_1$  to false?

$$\begin{aligned} \mathit{effcond}(\neg t_1, \mathit{eff}(m_1)) &= \mathit{effcond}(\neg t_1, ((t_1 \triangleright \neg t_1) \wedge (\neg t_1 \triangleright t_1))) \\ &= \mathit{effcond}(\neg t_1, (t_1 \triangleright \neg t_1)) \vee \\ &\quad \mathit{effcond}(\neg t_1, (\neg t_1 \triangleright t_1)) \\ &= (t_1 \wedge \mathit{effcond}(\neg t_1, \neg t_1)) \vee \\ &\quad (\neg t_1 \wedge \mathit{effcond}(\neg t_1, t_1)) \\ &= (t_1 \wedge \top) \vee (\neg t_1 \wedge \perp) \\ &\equiv t_1 \vee \perp \\ &\equiv t_1 \end{aligned}$$

## Effect Condition: Example (2)

### Example

Consider the move operator  $m_1$  from the running example:

$$\mathit{eff}(m_1) = ((t_1 \triangleright \neg t_1) \wedge (\neg t_1 \triangleright t_1)).$$

Under which conditions does it set  $i$  to true?

$$\begin{aligned}\mathit{effcond}(i, \mathit{eff}(m_1)) &= \mathit{effcond}(i, ((t_1 \triangleright \neg t_1) \wedge (\neg t_1 \triangleright t_1))) \\ &= \mathit{effcond}(i, (t_1 \triangleright \neg t_1)) \vee \\ &\quad \mathit{effcond}(i, (\neg t_1 \triangleright t_1)) \\ &= (t_1 \wedge \mathit{effcond}(i, \neg t_1)) \vee \\ &\quad (\neg t_1 \wedge \mathit{effcond}(i, t_1)) \\ &= (t_1 \wedge \perp) \vee (\neg t_1 \wedge \perp) \\ &\equiv \perp \vee \perp \\ &\equiv \perp\end{aligned}$$

## Semantics of Effects: Applying an Effect

first attempt:

### Definition (Applying Effects)

Let  $V$  be a set of propositional state variables.

Let  $s$  be a state over  $V$ , and let  $e$  be an effect over  $V$ .

The **resulting state** of applying  $e$  in  $s$ , written  $s[[e]]$ , is the state  $s'$  defined as follows for all  $v \in V$ :

$$s'(v) = \begin{cases} \mathbf{T} & \text{if } s \models \text{effcond}(v, e) \\ \mathbf{F} & \text{if } s \models \text{effcond}(\neg v, e) \\ s(v) & \text{otherwise} \end{cases}$$

What is the problem with this definition?

## Semantics of Effects: Applying an Effect

correct definition:

### Definition (Applying Effects)

Let  $V$  be a set of propositional state variables.

Let  $s$  be a state over  $V$ , and let  $e$  be an effect over  $V$ .

The **resulting state** of applying  $e$  in  $s$ , written  $s[[e]]$ , is the state  $s'$  defined as follows for all  $v \in V$ :

$$s'(v) = \begin{cases} \mathbf{T} & \text{if } s \models \mathit{effcond}(v, e) \\ \mathbf{F} & \text{if } s \models \mathit{effcond}(\neg v, e) \wedge \neg \mathit{effcond}(v, e) \\ s(v) & \text{otherwise} \end{cases}$$



## Add-after-Delete Semantics

### Note:

- The definition implies that if a variable is simultaneously “added” (set to **T**) and “deleted” (set to **F**), the value **T** takes precedence.
- This is called **add-after-delete semantics**.
- This detail of effect semantics is somewhat arbitrary, but has proven useful in applications.

## Semantics of Operators

### Definition (Applicable, Applying Operators, Resulting State)

Let  $V$  be a set of propositional state variables.

Let  $s$  be a state over  $V$ , and let  $o$  be an operator over  $V$ .

Operator  $o$  is **applicable** in  $s$  if  $s \models \text{pre}(o)$ .

If  $o$  is applicable in  $s$ , the **resulting state** of **applying**  $o$  in  $s$ , written  $s[[o]]$ , is the state  $s[[\text{eff}(o)]]$ .

# Planning Tasks

# Planning Tasks

## Definition (Planning Task)

A (propositional) **planning task** is a 4-tuple  $\Pi = \langle V, I, O, \gamma \rangle$  where

- $V$  is a finite set of **propositional state variables**,
- $I$  is an interpretation of  $V$  called the **initial state**,
- $O$  is a finite set of **operators** over  $V$ , and
- $\gamma$  is a formula over  $V$  called the **goal**.

## Running Example: Planning Task

### Example

From the previous chapter, we see that the running example can be represented by the task  $\Pi = \langle V, I, O, \gamma \rangle$  with

- $V = \{i, w, t_1, t_2\}$
- $I = \{i \mapsto \mathbf{F}, w \mapsto \mathbf{T}, t_1 \mapsto \mathbf{F}, t_2 \mapsto \mathbf{F}\}$
- $O = \{m_1, m_2, l_1, l_2, u\}$  where
  - $m_1 = \langle \mathbf{T}, ((t_1 \triangleright \neg t_1) \wedge (\neg t_1 \triangleright t_1)), 5 \rangle$
  - $m_2 = \langle \mathbf{T}, ((t_2 \triangleright \neg t_2) \wedge (\neg t_2 \triangleright t_2)), 5 \rangle$
  - $l_1 = \langle \neg i \wedge (w \leftrightarrow t_1), (i \wedge w), 1 \rangle$
  - $l_2 = \langle \neg i \wedge (w \leftrightarrow t_2), (i \wedge \neg w), 1 \rangle$
  - $u = \langle i, \neg i \wedge (w \triangleright ((t_1 \triangleright w) \wedge (\neg t_1 \triangleright \neg w))) \wedge (\neg w \triangleright ((t_2 \triangleright w) \wedge (\neg t_2 \triangleright \neg w))), 1 \rangle$
- $\gamma = \neg i \wedge \neg w$

## Exercise: Modeling a Propositional Planning Task

### Example

Model the following task as a propositional planning task:

You are currently at home and have to write an essay. Since your computer is broken, you can only write the essay using a computer at the university library. These computers are always switched off when a user logs off.

## Mapping Planning Tasks to Transition Systems

### Definition (Transition System Induced by a Planning Task)

The planning task  $\Pi = \langle V, I, O, \gamma \rangle$  **induces** the transition system  $\mathcal{T}(\Pi) = \langle S, L, c, T, s_0, S_\star \rangle$ , where

- $S$  is the set of all states over  $V$ ,
- $L$  is the set of operators  $O$ ,
- $c(o) = \text{cost}(o)$  for all operators  $o \in O$ ,
- $T = \{ \langle s, o, s' \rangle \mid s \in S, o \text{ applicable in } s, s' = s \llbracket o \rrbracket \}$ ,
- $s_0 = I$ , and
- $S_\star = \{ s \in S \mid s \models \gamma \}$ .

## Planning Tasks: Terminology

- Terminology for transitions systems is also applied to the planning tasks  $\Pi$  that induce them.
- For example, when we speak of the **states of  $\Pi$** , we mean the states of  $\mathcal{T}(\Pi)$ .
- A sequence of operators that forms a solution of  $\mathcal{T}(\Pi)$  is called a **plan** of  $\Pi$ .



# Satisficing and Optimal Planning

By **planning**, we mean the following two algorithmic problems:

## Definition (Satisficing Planning)

**Given:** a planning task  $\Pi$

**Output:** a plan for  $\Pi$ , or **unsolvable** if no plan for  $\Pi$  exists

## Definition (Optimal Planning)

**Given:** a planning task  $\Pi$

**Output:** a plan for  $\Pi$  with minimal cost among all plans for  $\Pi$ ,  
or **unsolvable** if no plan for  $\Pi$  exists

# Positive Normal Form

# Flat Effect

## Definition (Flat Effect)

An effect is **simple** if it is either an atomic effect or of the form  $(\chi \triangleright e)$ , where  $e$  is an atomic effect.

An effect  $e$  is **flat** if it is a conjunction of 0 or more simple effects, and none of these simple effects include the same atomic effect.

An operator  $o$  is **flat** if  $\text{eff}(o)$  is flat.

**Notes:** analogously to CNF, we consider

- a single simple effect as a conjunction of 1 simple effect
- the empty effect as a conjunction of 0 simple effects

## Flat Effect: Example

### Example

Consider the effect

$$c \wedge (a \triangleright (\neg b \wedge (c \triangleright (b \wedge \neg d \wedge \neg a)))) \wedge (\neg b \triangleright \neg a)$$

An equivalent flat (and conflict-free) effect is

$$\begin{aligned} &c \wedge \\ &((a \wedge \neg c) \triangleright \neg b) \wedge \\ &((a \wedge c) \triangleright b) \wedge \\ &((a \wedge c) \triangleright \neg d) \wedge \\ &((\neg b \vee (a \wedge c)) \triangleright \neg a) \end{aligned}$$

**Note:** if we want, we can write  $c$  as  $(\top \triangleright c)$  to make the structure even more uniform, with each simple effect having a condition.

## Positive Formulas, Operators and Tasks

### Definition (Positive Formula)

A logical formula  $\varphi$  is **positive** if no negation symbols appear in  $\varphi$ .

**Note:** This includes the negation symbols implied by  $\rightarrow$  and  $\leftrightarrow$ .

### Definition (Positive Operator)

An operator  $o$  is **positive** if  $pre(o)$  and all effect conditions in  $eff(o)$  are positive.

### Definition (Positive Propositional Planning Task)

A propositional planning task  $\langle V, I, O, \gamma \rangle$  is **positive** if all operators in  $O$  and the goal  $\gamma$  are positive.

## Positive Normal Form

### Definition (Positive Normal Form)

A propositional planning task is in **positive normal form** if it is positive and all operator effects are flat.

## Positive Normal Form: Example

### Example (Transformation to Positive Normal Form)

$$V = \{home, uni, lecture, bike, bike-locked\}$$

$$I = \{home \mapsto \mathbf{T}, bike \mapsto \mathbf{T}, bike-locked \mapsto \mathbf{T}, \\ uni \mapsto \mathbf{F}, lecture \mapsto \mathbf{F}\}$$

$$O = \{\langle home \wedge bike \wedge \neg bike-locked, \neg home \wedge uni \rangle, \\ \langle bike \wedge bike-locked, \neg bike-locked \rangle, \\ \langle bike \wedge \neg bike-locked, bike-locked \rangle, \\ \langle uni, lecture \wedge ((bike \wedge \neg bike-locked) \triangleright \neg bike) \rangle\}$$

$$\gamma = lecture \wedge bike$$

## Positive Normal Form: Example

### Example (Transformation to Positive Normal Form)

$$V = \{home, uni, lecture, bike, bike-locked\}$$
$$I = \{home \mapsto \mathbf{T}, bike \mapsto \mathbf{T}, bike-locked \mapsto \mathbf{T}, \\ uni \mapsto \mathbf{F}, lecture \mapsto \mathbf{F}\}$$
$$O = \{\langle home \wedge bike \wedge \neg bike-locked, \neg home \wedge uni \rangle, \\ \langle bike \wedge bike-locked, \neg bike-locked \rangle, \\ \langle bike \wedge \neg bike-locked, bike-locked \rangle, \\ \langle uni, lecture \wedge ((bike \wedge \neg bike-locked) \triangleright \neg bike) \rangle\}$$
$$\gamma = lecture \wedge bike$$

Identify state variable  $v$  occurring negatively in conditions.



## Positive Normal Form: Example

### Example (Transformation to Positive Normal Form)

$$V = \{home, uni, lecture, bike, bike\text{-}locked, bike\text{-}unlocked\}$$
$$I = \{home \mapsto \mathbf{T}, bike \mapsto \mathbf{T}, bike\text{-}locked \mapsto \mathbf{T}, \\ uni \mapsto \mathbf{F}, lecture \mapsto \mathbf{F}, bike\text{-}unlocked \mapsto \mathbf{F}\}$$
$$O = \{\langle home \wedge bike \wedge \neg bike\text{-}locked, \neg home \wedge uni \rangle, \\ \langle bike \wedge bike\text{-}locked, \neg bike\text{-}locked \rangle, \\ \langle bike \wedge \neg bike\text{-}locked, bike\text{-}locked \rangle, \\ \langle uni, lecture \wedge ((bike \wedge \neg bike\text{-}locked) \triangleright \neg bike) \rangle\}$$
$$\gamma = lecture \wedge bike$$

Introduce new variable  $\hat{v}$  with complementary initial value.

## Positive Normal Form: Example

### Example (Transformation to Positive Normal Form)

$$V = \{home, uni, lecture, bike, bike-locked, bike-unlocked\}$$
$$I = \{home \mapsto \mathbf{T}, bike \mapsto \mathbf{T}, bike-locked \mapsto \mathbf{T},$$
$$uni \mapsto \mathbf{F}, lecture \mapsto \mathbf{F}, bike-unlocked \mapsto \mathbf{F}\}$$
$$O = \{\langle home \wedge bike \wedge \neg bike-locked, \neg home \wedge uni \rangle,$$
$$\langle bike \wedge bike-locked, \neg bike-locked \rangle,$$
$$\langle bike \wedge \neg bike-locked, bike-locked \rangle,$$
$$\langle uni, lecture \wedge ((bike \wedge \neg bike-locked) \triangleright \neg bike) \rangle\}$$
$$\gamma = lecture \wedge bike$$

Identify effects on variable  $v$ .

## Positive Normal Form: Example

### Example (Transformation to Positive Normal Form)

$$V = \{home, uni, lecture, bike, bike\text{-}locked, bike\text{-}unlocked\}$$
$$I = \{home \mapsto \mathbf{T}, bike \mapsto \mathbf{T}, bike\text{-}locked \mapsto \mathbf{T}, \\ uni \mapsto \mathbf{F}, lecture \mapsto \mathbf{F}, bike\text{-}unlocked \mapsto \mathbf{F}\}$$
$$O = \{\langle home \wedge bike \wedge \neg bike\text{-}locked, \neg home \wedge uni \rangle, \\ \langle bike \wedge bike\text{-}locked, \neg bike\text{-}locked \wedge bike\text{-}unlocked \rangle, \\ \langle bike \wedge \neg bike\text{-}locked, bike\text{-}locked \wedge \neg bike\text{-}unlocked \rangle, \\ \langle uni, lecture \wedge ((bike \wedge \neg bike\text{-}locked) \triangleright \neg bike) \rangle\}$$
$$\gamma = lecture \wedge bike$$

Introduce complementary effects for  $\hat{v}$ .

## Positive Normal Form: Example

### Example (Transformation to Positive Normal Form)

$$V = \{home, uni, lecture, bike, bike\text{-}locked, bike\text{-}unlocked\}$$
$$I = \{home \mapsto \mathbf{T}, bike \mapsto \mathbf{T}, bike\text{-}locked \mapsto \mathbf{T},$$
$$uni \mapsto \mathbf{F}, lecture \mapsto \mathbf{F}, bike\text{-}unlocked \mapsto \mathbf{F}\}$$
$$O = \{\langle home \wedge bike \wedge \neg bike\text{-}locked, \neg home \wedge uni \rangle,$$
$$\langle bike \wedge bike\text{-}locked, \neg bike\text{-}locked \wedge bike\text{-}unlocked \rangle,$$
$$\langle bike \wedge \neg bike\text{-}locked, bike\text{-}locked \wedge \neg bike\text{-}unlocked \rangle,$$
$$\langle uni, lecture \wedge ((bike \wedge \neg bike\text{-}locked) \triangleright \neg bike) \rangle\}$$
$$\gamma = lecture \wedge bike$$

Identify negative conditions for  $v$ .

## Positive Normal Form: Example

### Example (Transformation to Positive Normal Form)

$$V = \{home, uni, lecture, bike, bike-locked, bike-unlocked\}$$
$$I = \{home \mapsto \mathbf{T}, bike \mapsto \mathbf{T}, bike-locked \mapsto \mathbf{T}, \\ uni \mapsto \mathbf{F}, lecture \mapsto \mathbf{F}, bike-unlocked \mapsto \mathbf{F}\}$$
$$O = \{\langle home \wedge bike \wedge \mathbf{bike-unlocked}, \neg home \wedge uni \rangle, \\ \langle bike \wedge bike-locked, \neg bike-locked \wedge bike-unlocked \rangle, \\ \langle bike \wedge \mathbf{bike-unlocked}, bike-locked \wedge \neg bike-unlocked \rangle, \\ \langle uni, lecture \wedge ((bike \wedge \mathbf{bike-unlocked}) \triangleright \neg bike) \rangle\}$$
$$\gamma = lecture \wedge bike$$

Replace by positive condition  $\hat{v}$ .

## Positive Normal Form: Example

### Example (Transformation to Positive Normal Form)

$$V = \{home, uni, lecture, bike, bike\text{-}locked, bike\text{-}unlocked\}$$
$$I = \{home \mapsto \mathbf{T}, bike \mapsto \mathbf{T}, bike\text{-}locked \mapsto \mathbf{T},$$
$$uni \mapsto \mathbf{F}, lecture \mapsto \mathbf{F}, bike\text{-}unlocked \mapsto \mathbf{F}\}$$
$$O = \{\langle home \wedge bike \wedge bike\text{-}unlocked, \neg home \wedge uni \rangle,$$
$$\langle bike \wedge bike\text{-}locked, \neg bike\text{-}locked \wedge bike\text{-}unlocked \rangle,$$
$$\langle bike \wedge bike\text{-}unlocked, bike\text{-}locked \wedge \neg bike\text{-}unlocked \rangle,$$
$$\langle uni, lecture \wedge ((bike \wedge bike\text{-}unlocked) \triangleright \neg bike) \rangle\}$$
$$\gamma = lecture \wedge bike$$

# STRIPS

# STRIPS Operators and Planning Tasks

## Definition (STRIPS Operator)

An operator  $o$  of a propositional planning task is a **STRIPS operator** if

- $pre(o)$  is a conjunction of state variables, and
- $eff(o)$  is a conflict-free conjunction of atomic effects.

## Definition (STRIPS Planning Task)

A propositional planning task  $\langle V, I, O, \gamma \rangle$  is a **STRIPS planning task** if all operators  $o \in O$  are STRIPS operators and  $\gamma$  is a conjunction of state variables.



## STRIPS Operators: Remarks

- Every STRIPS operator is of the form

$$\langle v_1 \wedge \cdots \wedge v_n, \ell_1 \wedge \cdots \wedge \ell_m \rangle$$

where  $v_i$  are state variables and  $\ell_j$  are atomic effects.

- Often, STRIPS operators  $o$  are described via three **sets of state variables**:
  - the **preconditions** (state variables occurring in  $pre(o)$ )
  - the **add effects** (state variables occurring positively in  $eff(o)$ )
  - the **delete effects** (state variables occurring negatively in  $eff(o)$ )
- Definitions of STRIPS in the literature often do **not** require conflict-freeness. But it is easy to achieve and makes many things simpler.
- There exists a variant called **STRIPS with negation** where negative literals are also allowed in conditions.

## Why STRIPS is Interesting

- STRIPS is **particularly simple**, yet expressive enough to capture general planning tasks.
- In particular, STRIPS planning is **no easier** than planning in general.
- Many algorithms in the planning literature are **only presented for STRIPS planning tasks** (generalization is often, but not always, obvious).

### STRIPS

STanford Research Institute Problem Solver (Fikes & Nilsson, 1971)

# Summary

## Summary (1/2)

- **Planning tasks** compactly represent transition systems and are suitable as inputs for planning algorithms.
- A planning task consists of a set of **state variables** and an **initial state**, **operators** and **goal** over these state variables.
- In **satisficing planning**, we must find a solution for a planning task (or show that no solution exists).
- In **optimal planning**, we must additionally guarantee that generated solutions are of minimal cost.

## Summary (2/2)

- A positive task with flat operators is in **positive normal form**.
- **STRIPS** is even more restrictive than positive normal form, forbidding complex preconditions and conditional effects.
- Both forms are expressive enough to capture general propositional planning tasks.
- Transformation to positive normal form is possible with polynomial size increase.
- Isomorphic transformations of propositional planning tasks to STRIPS can increase the number of operators exponentially; non-isomorphic polynomial transformations exist.