

# General and Reusable Indexical Policies and Sketches

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## Introduction

- **Generalized planning** is about finding plans that solve many instances of common domain
- We have shown policies (and sketches) can be expressed by sets of **feature-based rules**
- Rules classify transitions as **good** or **bad** w/o reference to actions: **generality is obtained**
- Rules powerful, effective, and **learnable**
- Also, foundation for GNNs/RL approach

### Limitations:

- Policies that enable/disable rule subsets
- No way to “fix attention at given object”
- No principled way to **reuse/compose modules**

## Contributions

- **Internal memory states**, that permit to have flow of control to enable/disable rules
- **Indexical features** in terms of **registers**
- **Modules** wrap policies and sketches in units

## Example: Delivery

- **Problem:** packages in a grid to delivered
- Two sketches of different “complexity”:
  - (Width 2) single rule  $\{n > 0\} \mapsto \{n\downarrow\}$  where  $n$  is num of und. pkgs
  - (Width 0, policy) 4 rules where  $p$  and  $t$  are distance to **closest** underlivered package and target cell, resp.:

$\{\neg H, p > 0\} \mapsto \{p\downarrow, t?\}$	Approach package
$\{\neg H, p = 0\} \mapsto \{H\}$	Pick packag
$\{H, t > 0\} \mapsto \{t\downarrow\}$	Approach target
$\{H, t = 0\} \mapsto \{\neg H, n\downarrow, p?\}$	Deliver package

## Problems, Features, and Rules

- Collections  $\mathcal{Q}$  of instances over a common planning domain  $D$
- **Sketch** for  $\mathcal{Q}$  is set of rules  $C \mapsto E$  based on **features** over domain  $D$  which can be Boolean or numerical.  $C$  contains  $p$  and  $\neg p$ , and  $n > 0$  and  $n = 0$ ,  $E$  contains  $p$ ,  $\neg p$ , and  $p?$ , and  $n\downarrow$ ,  $n\uparrow$ , and  $n?$
- State pair  $(s, s')$  is **compatible** with  $C \mapsto E$  if  $C$  satisfied at  $s$ , and values change across  $(s, s')$  according  $E$ :  $s' \prec_r s$ , and  $s' \prec_R s$  if  $r \in R$

## Serialized Width and Algorithms

- **Width** measures comp. of finding opt. plan. If  $w(P) \leq k$ , opt. plan in  $\mathcal{O}(N^{2k-1})$  with IW( $k$ )
- Sketch  $R$  splits  $P$  into **subproblems**  $P[s]$ , like  $P$ , but initial state  $s$  and goals  $s'$  that are either goal of  $P$ , or  $s' \prec_R s$
- $R$  has **serialized width**  $\leq k$  on class  $\mathcal{Q}$  if for  $P$  in  $\mathcal{Q}$ , subproblems  $P[s]$  have width  $\leq k$
- IW( $k$ ) is a BFS that **prunes** nodes that don’t **discover**  $k$ -tuple of atoms. IW( $k$ ) runs in  $\mathcal{O}(N^{2k-1})$  time,  $N$  is number of atoms
- If  $w(\mathcal{Q}) \leq k$ , IW( $k$ ) solves any  $P$  in  $\mathcal{Q}$  in **ptime**
- IW runs IW( $i$ ),  $i = 0, 1, 2, \dots, N$  where  $N$  is number of atoms

## Algorithm SIW<sub>R</sub> for Solving Problems with Sketches

### Algorithm: SIW<sub>R</sub> search given sketch $R$

```
1: Input: Sketch  $R$  over features  $\Phi$ 
2: Input: Planning problem  $P$  with initial state  $s_0$  in which the features in  $\Phi$  are well defined
3:  $s \leftarrow s_0$ 
4: while  $s$  is not a goal state of  $P$  do
5:   Run IW from  $s$  to find  $s'$  with  $s'$  goal, or  $s' \prec_R s$ 
6:   if  $s'$  is not found return UNSOLVABLE
7:    $s \leftarrow s'$ 
8: return path from  $s_0$  to the goal state  $s$ 
```

- $R$  is **acyclic** in  $P$  if no sequence  $s_0, s_1, \dots, s_n$  such that  $s_{i+1} \prec_R s_i$ , and  $s_n = s_0$
- **Sieve** checks if  $R$  is **terminating**. If so  $R$  is **acyclic**, and #subp. is polynomial for any  $P$
- If  $R$  is terminating and  $w(\mathcal{Q}) \leq k$ , SIW<sub>R</sub> solves any  $P$  in  $\mathcal{Q}$  in **polynomial time**

## General Sketch for $on(x, y)$

### Sketch for class $\mathcal{Q}_{on}$ of width 2

Sketch for class  $\mathcal{Q}_{on}$  of problems with atomic goal  $on(x, y)$  defined with numerical  $n$  that counts the number of blocks above  $x$  or  $y$ , and  $On$  that represents whether  $x$  is on  $y$ . Set of features is  $\Phi = \{On, n\}$ , and rules:

```
{n > 0} → {n↓}           Put block away from x or y
{n = 0, ¬On} → {On, n?}  Stack x on y
```

## Memory, Registers, and Indexicals

- Sketch with memory is  $\langle M, \Phi, m_0, R \rangle$  where  $M$  is memory states,  $\Phi$  is features,  $m_0$  is initial memory, and  $R$  is rules  $(m, C) \mapsto (E, m')$  where  $C \mapsto E$  is standard rule, and  $m$  and  $m'$  are memory states
- Registers store objects, that can be referred in features that become indexical
- Registers  $\mathfrak{R} = \{\mathbf{r}_0, \mathbf{r}_1, \dots\}$  contain objects selected with using new *concepts*  $c$  and *roles*  $r$
- **Indexical feature** is function of state and registers; like “dist. to object stored in  $\mathbf{r}_0$ ”
- Effects  $Load(c, \mathbf{r})$  to update  $\mathbf{r}$ . Rule with  $Load(c, \mathbf{r})$  has condition  $c > 0$ , single load effect, and also  $\phi?$  for all  $\phi$  in  $\Phi(\mathbf{r})$
- Rules with loads called *internal*, other *external*

## Clearing Multiple Blocks

### Indexical policy for the class $\mathcal{Q}_{clear^*}$

Class  $\mathcal{Q}_{clear^*}$  of problems whose goal is conjunction of *clear*( $x$ ) atoms. Concept  $c$  contains blocks to be cleared Policy  $\pi_{clear^*}$  with 5 memory states, 2 registers, concept  $N$  for blocks in  $c$  that are not clear, indexical  $T$  for topmost block above  $\mathbf{r}_0$ , Boolean  $H$  iff some held, and Boolean  $A$  iff block in  $\mathbf{r}_1$  is above some in  $c$ .

```
 $m_0 \parallel \{H\} \mapsto \{\neg H, N?\} \parallel m_1$ 
 $m_0 \parallel \{\neg H\} \mapsto \{\} \parallel m_1$ 
```

```
 $m_1 \parallel \{N > 0\} \mapsto \{Load(N, \mathbf{r}_0), T?\} \parallel m_2$ 
 $m_2 \parallel \{T > 0\} \mapsto \{Load(T, \mathbf{r}_1), A?\} \parallel m_3$ 
 $m_2 \parallel \{T = 0\} \mapsto \{\} \parallel m_1$ 
```

```
 $m_3 \parallel \{\neg H, A\} \mapsto \{H, \neg A, N?, T?\} \parallel m_4$ 
 $m_4 \parallel \{H\} \mapsto \{\neg H\} \parallel m_2$ 
 $m_4 \parallel \{H\} \mapsto \{\neg H, N\downarrow\} \parallel m_2$ 
```

## Reusable Modules

- Module is  $\langle \text{args}, Z, M, \mathfrak{R}, \Phi, m_0, R \rangle$  where  $\text{args} = \langle x_1, x_2, \dots, x_n \rangle$  is arguments,  $Z$  and  $\Phi$  are features,  $M$  is memory,  $\mathfrak{R}$  is registers,  $m_0 \in M$  is initial memory, and  $R$  is rules
- Features in  $\Phi$  used in rules may depend on arguments, registers, and features in  $Z$
- New **call and do rules** to call other modules, and execute ground actions
- Call/do rules  $(m, C) \mapsto (\mathbf{name}(v_1, \dots, v_n), m')$  where  $m$  and  $m'$  are memory,  $C$  is condition, **name** is module or action schema name, and each value  $v_i$  is of appropriate type
- If *call rule*, sketch for module **name** executed until no rules applicable, and control returned to  $m'$ . If *do rule*, apply ground action  $\mathbf{name}(o_1, \dots, o_n)$ , where  $o_i$  belongs to  $v_i$ , and control returned at  $m'$

## Solving Blocksworld Problems

### Module **tower**( $o, x$ ) for building a single tower

Aimed at class  $\mathcal{Q}_{tower}$  of problems where blocks to be stacked in *single tower* achieving  $\wedge_{i=1}^k on(x_i, x_{i-1})$  and *ontable*( $x_0$ ). Module is  $\langle \langle O, x \rangle, Z, M, \mathfrak{R}, \Phi, m_0, R \rangle$  where  $O$  is role argument that contains pairs  $\{(x_i, x_{i-1}) \mid i = 1, \dots, k\}$ , and  $x$  is concept with lowest misplaced block in target tower

Other elements are  $Z = \emptyset$ ,  $M = \{m_0, m_1, \dots, m_3\}$ ,  $\mathfrak{R} = \{\mathbf{r}_0\}$ , and  $\Phi = \{M, W\}$  where  $M$  is indexical that contains block to be placed above  $\mathbf{r}_0$  according to  $O$ , if any, and  $W$  contains block directly below  $\mathbf{r}_0$ , if any, according to the target tower  $O$ .

```
% Module tower( $o, x$ )
 $m_0 \parallel \{X > 0\} \mapsto \{Load(X, \mathbf{r}_0), M?, W?\} \parallel m_1$ 
 $m_1 \parallel \{W = 0\} \mapsto \mathbf{on-table}(\mathbf{r}_0) \parallel m_2$ 
 $m_1 \parallel \{W > 0\} \mapsto \mathbf{on}(\mathbf{r}_0, W) \parallel m_2$ 
 $m_2 \parallel \{M > 0\} \mapsto \mathbf{tower}(O, M) \parallel m_3$ 
```

### Module **blocks**( $o$ ) for arbitrary towers

Aimed at class  $\mathcal{Q}_{blocks}$  of problems for building many target towers, takes single role argument  $O$ . Module is tuple  $\langle \langle O \rangle, Z, M, \mathfrak{R}, \Phi, m_0, R \rangle$  where  $Z = \emptyset$ ,  $M = \{m_0, m_1\}$ ,  $\mathfrak{R} = \{r_0\}$ , and  $\Phi = \{L\}$  where  $L$  is contains the lowest misplaced blocks in  $O$

```
% Module blocks( $o$ )
 $m_0 \parallel \{L > 0\} \mapsto \{Load(L, \mathbf{r}_0)\} \parallel m_1$ 
 $m_1 \parallel \{\} \mapsto \mathbf{tower}(O, \mathbf{r}_0) \parallel m_0$ 
```

## Conclusions and Future Work

- Extensions to make policies and sketches more expressive and reusable: (1) internal memory states, (2) indexical concepts and features, and (3) modules that wrap up policies and sketches
- **Future work:** learn policies and sketches bottom-up, theoretical properties, etc